

# CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name Donna Macedo

Signature *D. Macedo*

Date 8/25/2000



## RESPONSE TO MISSING PARTS

Address to:  
Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket	06510-121US1
First Named Inventor	Yadong Huang
Application Number	09/544,910
Filing Date	April 7, 2000
Group Art Unit	1643
Examiner Name	N/A
Title: Methods and Compositions for Use in the Treatment of Hyperlipidemia	

Sir:

In response to the Notice to File Missing Parts dated June 29, 2000, transmitted herewith for filing are the following documents:

1. Fee Transmittal;
2. copy of the Notice to File Missing Parts;
3. Declaration signed by the inventors;
4. Power of Attorney by Assignee;
5. a Verified Statement Claiming Small Entity Status;
6. Information Disclosure Statement;
7. Form PTO-1449; and
8. 12 References.

The Commissioner is hereby authorized to charge the amount of \$623.00 (surcharge, \$65; statutory basic filing fee, \$345; additional claim fees, \$213) to cover the fees required and any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: 8.25.00

By: *[Signature]*

Bret E. Field  
Registration No. 37,620

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 327-3400  
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F:\Document\06510-121US1\resp to mp.doc

Attorney Docket Number	06121US1
First Named Inventor	Yao Huang
Application Number	09/544,910
Filing Date	April 7, 2000
Examiner Name & Group Art Unit	N/A (1643)
Title	Methods and Compositions for Use in the Treatment of Hyperlipidemia

# FEE TRANSMITTAL

Note: Effective October 1, 1994, Patent fees are subject to annual revision.

AUG 29 2000

## METHOD OF PAYMENT

1. ☐ A Check Is Enclosed  
 2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to: Deposit Account No. 50-0815. Deposit Account Name: Bozicevic, Field & Francis LLP

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$345
102	310	206	155	Design filing fee	
101	480	201	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	201	75	Provisional filing fee	
Subtotal (1)					\$345

### 2. CLAIMS

No. of claims as filed or after amendment			Most claims previously ly paid		Extra claims		Fee from below		Fee Due
Total claims	35	-	20	=	15	x	9	=	\$135
Ind. claims	5	-	3	=	2	x	39	=	\$78
Multiple Dependent claims						x		=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	201	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
Subtotal (2) \$213				

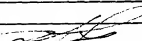
### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due	
105	130	205	65	Surcharge - late filing fee or oath	65	127	50	227	25	Surcharge - late provisional filing fee or cover sheet		
139	130	139	130	Non-English specification		147	2,520	147	2,520	Filing a request for reexamination		
115	110	215	55	Ext. for reply within first month		116	380	216	190	Ext. for reply within second month		
117	870	217	435	Ext. for reply within third month		118	1,360	218	680	Ext. for reply within fourth month		
128	1,850	228	925	Ext. for reply within fifth month		119	300	219	150	Notice of Appeal		
120	300	220	150	Filing brief in support of appeal		121	260	221	130	Request for oral hearing		
140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional		
142	1,210	242	605	Utility issue fee (or reissue)		122	130	122	130	Petitions to the Commissioner		
123	50	123	50	Petitions related to prov. appl.		126	240	126	240	Submission of IDS		
146	690	246	345	Filing submission after final rejection (37 CFR 1.129(a))		149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))		
112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1840*	113	1840*	Requesting publication of SIR after Examiner action		
581	40	581	40	Record new patent assignment		Other fee (specify)						

\* Reduced by Basic Filing Fee Paid

TOTAL AMOUNT TO BE CHARGED TO DEPOSIT ACCOUNT 50-0815

(\$623.00)

Submitted by (Typed Name)	Bret E. Field	BOZICEVIC, FIELD & FRANCIS LLP
Signature		Date 8-25-00
Reg. Number	37,620	

## FORMALITIES LETTER



\*OC00000005210548\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

A3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/544,910	04/07/2000	Yadong Huang	06510/121US1

Bret Field  
Bozicevic Field & Francis LLP  
200 Middlefield Road  
Suite 200  
Menlo Park, CA 94025



Date Mailed: 06/29/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$426.
  - \$270 for 15 total claims over 20.
  - \$156 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1246.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/01/2000 GTEFFERA 00000101 500815 09544910

01 FC:201	345.00 CH
02 FC:203	135.00 CH
03 FC:202	78.00 CH
04 FC:205	65.00 CH